

# Confidential Financial Questionnaire



## GENERAL INFORMATION

Name: \_\_\_\_\_ SS#: \_\_\_\_\_ DOB: \_\_\_\_\_

Home Address: \_\_\_\_\_

Current/Former Employer: \_\_\_\_\_ Retired: YES NO

Occupation: \_\_\_\_\_ Estimated Retirement Date: \_\_\_\_\_

Phone Number: \_\_\_\_\_ Personal Email: \_\_\_\_\_

Spouse Name: \_\_\_\_\_ SS#: \_\_\_\_\_ DOB: \_\_\_\_\_

Home Address: \_\_\_\_\_

Current/Former Employer: \_\_\_\_\_ Retired: YES NO

Occupation: \_\_\_\_\_ Estimated Retirement Date: \_\_\_\_\_

Phone Number: \_\_\_\_\_ Personal Email: \_\_\_\_\_

## INCOME

Please list **NET MONTHLY** income from each source:

	Self:	Spouse:
Salary	_____	_____
Social Security (if receiving now)	_____	_____
FERS / Pension Amount	_____	_____
TSP / 401k Amount	_____	_____
Income from Investments	_____	_____
Rental Income	_____	_____
Other: _____	_____	_____

## EXPENSES

What is the monthly cost to support your life-style? (Not just living expenses)

The most accurate way to understand your **true** living expenses is to add what **actually** came out of your bank account in the last 12 months. Please consider the following: Utilities, vacations, dinners, hobbies, etc.

\$ \_\_\_\_\_

## CASH FLOW

Is your current cash flow sufficient and comfortable? ☐ Yes ☐ No

If you answered no, please explain:

Are you planning any major lifestyle changes? ☐ Yes ☐ No

If you answered yes, please explain:

Do you anticipate any significant changes in cash flow? ☐ Yes ☐ No

If you answered yes, please explain:

Do you foresee purchases greater than \$20,000 within the next 3 years?

☐ Yes ☐ No

If you answered yes, please explain:

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Information gathered is used solely for the purpose of better understanding your financial situation and to ensure your time with us is as efficient as possible.

## LEGAL ITEMS

**Which of the following do you have in place?**

Will ☐ Yes ☐ No  
 Trust ☐ Yes ☐ No  
 Power of Attorney (POA) ☐ Yes ☐ No  
 Financial Power of Attorney ☐ Yes ☐ No  
 (POA) Health Living Will ☐ Yes ☐ No  
 Date last updated: \_\_\_\_\_

## ADD'L INFO

**In the near future I expect to: (Please check all that apply)**

☐ Improve a home ☐ Start/Expand a business  
☐ Travel ☐ Receive an inheritance  
☐ Pay off debt ☐ Start a part-time job  
☐ Care for a parent ☐ Fund education costs for a family member

## REAL ESTATE

## PRIMARY RESIDENCE

## ADD'L PROPERTY #1

## #2

## #3

Value				
Existing Mtg. Amount				
Mtg. Monthly Payment				
Rental Property Income (Monthly)				
Rental Property Expenses (Monthly)				

If you own land, what is the total value of land you own: \_\_\_\_\_

Do you plan on selling any properties you own: ☐ Yes ☐ No

Do you plan on purchasing any properties: ☐ Yes ☐ No

## CONCERNS

**What are your main retirement concerns? Check all that apply.**

☐ Losing too much money in the stock market ☐ Outliving nest egg  
☐ Paying too much in taxes ☐ Leaving a legacy to children and/or grandchildren  
☐ Not having a reliable income plan for retirement ☐ Need direction with 401K and/or IRA accounts  
☐ Concerned about losing life savings due to a catastrophic illness ☐ Exposure to Bond Bubble

## ADDITIONAL INFO

Please rank the following items based on what is **MOST IMPORTANT** to you (1 = Most Important, 2 = Important, 3 = Least Important)

**SECURITY:** \_\_\_\_\_

**GROWTH:** \_\_\_\_\_

**LIQUIDITY:** \_\_\_\_\_

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